

**KLAY SECURITIES PRIVATE LIMITED**

INVESTOR GRIEVANCE ANNEXURE FOR PMS, AIF, STOCK BROKERS & DEPOSITORY PARTICIPANT

ANNEXURE - B**DATA FOR EVERY MONTH ENDING**

| SN | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** | | Average Resolution time^ (in days) |
|----|-------------------------|-------------------------------------|---------------------------|---------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1. | Directly from Investors | Nil | Nil | Nil | Nil | Nil | Nil | Nil |
| 2. | SEBI (SCORES) | Nil | Nil | Nil | Nil | Nil | Nil | Nil |
| 3. | Stock Exchanges | Nil | Nil | Nil | Nil | Nil | Nil | Nil |
| 4. | Other Sources (if any) | Nil | Nil | Nil | Nil | Nil | Nil | Nil |
| 5. | Grand Total | Nil | Nil | Nil | Nil | Nil | Nil | Nil |

TREND OF MONTHLY DISPOSAL OF COMPLAINTS

| SN | Month | Carried forward from previous month | Received | Resolved* | Pending** |
|----|-------------|-------------------------------------|----------|-----------|-----------|
| 1. | April 2024 | 0 | 0 | 0 | 0 |
| 2. | May 2024 | 0 | 0 | 0 | 0 |
| 3. | June 2024 | 0 | 0 | 0 | 0 |
| 4. | July 2024 | 0 | 0 | 0 | 0 |
| 5. | August 2024 | 0 | 0 | 0 | 0 |

TREND OF ANNUAL DISPOSAL OF COMPLAINTS

| SN | Year | Carried forward from previous year | Received during the year | Resolved** during the year | Pending## at the end of the year |
|----|--------------------|------------------------------------|--------------------------|----------------------------|----------------------------------|
| 1. | 2018-19 | Nil | Nil | Nil | Nil |
| 2. | 2019-20 | Nil | Nil | Nil | Nil |
| 3. | 2020-21 | Nil | Nil | Nil | Nil |
| 4. | 2021-22 | Nil | Nil | Nil | Nil |
| 5. | 2022-23 | Nil | Nil | Nil | Nil |
| 6. | 2023-2024 | Nil | Nil | Nil | Nil |
| | GRAND TOTAL | Nil | Nil | Nil | Nil |

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.