

**KLAY SECURITIES PRIVATE LIMITED**

INVESTOR GRIEVANCE ANNEXURE FOR PMS, AIF, STOCK BROKERS &amp; DEPOSITORY PARTICIPANT

**ANNEXURE - B**

| DATA FOR EVERY MONTH ENDING |                         |                                     |                           |               |           |                                   |                                |                                    |
|-----------------------------|-------------------------|-------------------------------------|---------------------------|---------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
| SN                          | Received from           | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** |                                | Average Resolution time^ (in days) |
|                             |                         |                                     |                           |               |           | Pending for less than 3 months    | Pending for more than 3 months |                                    |
| 1.                          | Directly from Investors | Nil                                 | Nil                       | Nil           | Nil       | Nil                               |                                | Nil                                |
| 2.                          | SEBI (SCORES)           | Nil                                 | Nil                       | Nil           | Nil       | Nil                               |                                | Nil                                |
| 3.                          | Stock Exchanges         | Nil                                 | Nil                       | Nil           | Nil       | Nil                               |                                | Nil                                |
| 4.                          | Other Sources (if any)  | Nil                                 | Nil                       | Nil           | Nil       | Nil                               |                                | Nil                                |
| 5.                          | Grand Total             | Nil                                 | Nil                       | Nil           | Nil       | Nil                               |                                | Nil                                |

| TREND OF MONTHLY DISPOSAL OF COMPLAINTS |                |                                     |          |           |           |
|---|----------------|-------------------------------------|----------|-----------|-----------|
| SN                                      | Month          | Carried forward from previous month | Received | Resolved* | Pending** |
| 1.                                      | April 2025     | 0                                   | 0        | 0         | 0         |
| 2.                                      | May 2025       | 0                                   | 0        | 0         | 0         |
| 3.                                      | June 2025      | 0                                   | 0        | 0         | 0         |
| 4.                                      | July 2025      | 0                                   | 0        | 0         | 0         |
| 5.                                      | August 2025    | 0                                   | 0        | 0         | 0         |
| 6.                                      | September 2025 | 0                                   | 0        | 0         | 0         |
| 7.                                      | October 2025   | 0                                   | 0        | 0         | 0         |
| 8.                                      | November 2025  | 0                                   | 0        | 0         | 0         |
| 9.                                      | December 2025  | 0                                   | 0        | 0         | 0         |

| TREND OF ANNUAL DISPOSAL OF COMPLAINTS |                    |                                    |                          |                            |                                  |
|--|--------------------|------------------------------------|--------------------------|----------------------------|----------------------------------|
| SN                                     | Year               | Carried forward from previous year | Received during the year | Resolved** during the year | Pending## at the end of the year |
| 1.                                     | 2018-19            | Nil                                | Nil                      | Nil                        | Nil                              |
| 2.                                     | 2019-20            | Nil                                | Nil                      | Nil                        | Nil                              |
| 3.                                     | 2020-21            | Nil                                | Nil                      | Nil                        | Nil                              |
| 4.                                     | 2021-22            | Nil                                | Nil                      | Nil                        | Nil                              |
| 5.                                     | 2022-23            | Nil                                | Nil                      | Nil                        | Nil                              |
| 6.                                     | 2023-24            | Nil                                | Nil                      | Nil                        | Nil                              |
| 7.                                     | 2024-25            | Nil                                | Nil                      | Nil                        | Nil                              |
|  | <b>GRAND TOTAL</b> | Nil                                | Nil                      | Nil                        | Nil                              |

\*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.